



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
09/583,406

2787/3
2188

In re application of: : Group Art Unit:
Heather Hinton et al. :
: Intellectual Property
Serial No: 09/583,406 : Law Department
Filed: 5/31/00 : International Business
Title: AUTHENTICATION AND : Machines Corporation
AUTHORIZATION PROTOCOL FOR : 11400 Burnet Road
SECURE WEB-BASED ACCESS TO A : Austin, Texas 78758
PROTECTED RESOURCE

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November 6, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D. C. 20231 on November 6, 2000.

Martha A. Acosta

Martha A. Acosta 11/6/2000
Signature Date

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D. C. 20231
Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to
AT9-1999-0922 US1 1

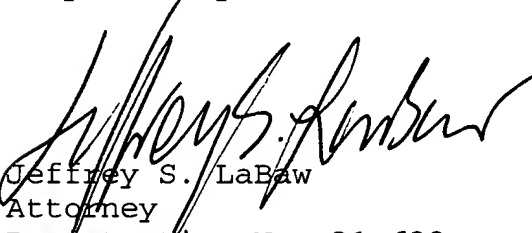
constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

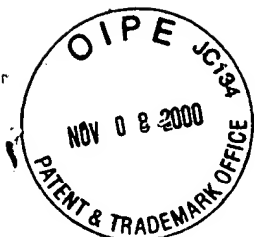
A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,



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JSL:ma



In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No. 09/583,406

Applicant: Heather Hinton et al.

Filing Date: 5/31/2000

Group: _____

Atty. Docket No. AT9-1999-0922 US1

Reference Designation				U. S. PATENT DOCUMENTS			Filing Date
Examiner	Document						
Initial	Number	Date	Name	Class	Subclass	Appropriate	Date
<u>HS</u>	AA 5,963,915	10/05/99	Kirsch				
<u>HS</u>	AB 5,875,296	02/23/99	Shi et al.				
<u>HS</u>	AC 5,908,469	06/01/99	Botz et al.				
<u>HS</u>	AD 5,884,024	03/16/99	Lim et al.				
<u>HS</u>	AE 5,535,276	07/09/96	Ganesan				
<u>HS</u>	AF 5,864,665	01/26/99	Tran				

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				FOREIGN PATENT DOCUMENTS			Translation	
Examiner	Document						Yes	No
Initial	Number	Date	Country	Class	Subclass			
<u>HS</u>	11-98134	03/9/99	Japan					
<u>HS</u>	99/00960	01/7/99	WO					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

HS AS RD 06-98 n410116 p800: "Internet based secure transactions using encrypting applets and cgi-scripts independent of browser or server capabilities"
HS AT 11-098134, 4/9/99- Method For Detecting Fraudulent Alteration and Copy of "Cookie" and program storage medium
HS AU IEEE No. 96CB35924: Limitations on design principles for public key protocols, May 1996
HS AV IEEE No. 96CB35924: Limitations on design principles for public key protocols May 1996

Examiner:

Paul H. Son

Date Considered:

04/27/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.